# Model-free, Model-based, and General Intelligence

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### Outline

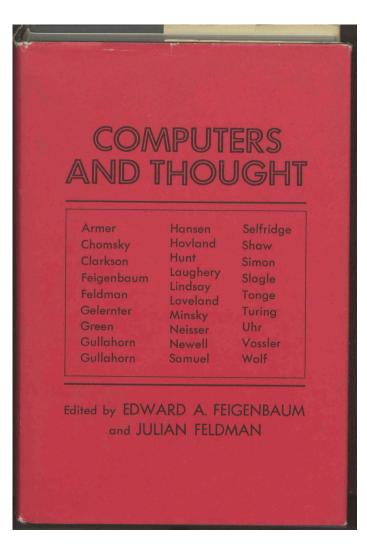
- AI, Programming, and AI programming
- Problem of Generality
- Model-free Learners
- Model-based Solvers (Planners)
- Learners and Solvers: System 1 and System 2?
- Learners and Solvers: Need for Integration, Challenges

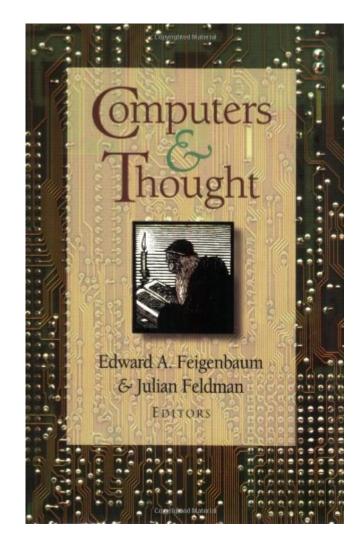
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**Refs:** *Model-free, Model-based, and General Intelligence.* H. Geffner, 2018. **Thanks:** B. Bonet, G. Francès, N. Lipovetzky, M. Ramírez, H. Palacios, ...

### **Computers and Thought (1963)**





Early collection of AI papers describing programs for playing chess and checkers, proving theorems in logic and geometry, planning, etc.

#### Importance of Programs in Early AI Work

In preface of 1963 edition of the book:

We have tried to focus on papers that report results. In this collection, the papers . . . describe actual **working computer programs** . . . Because of the limited space, we chose to avoid the more speculative . . . pieces.

#### In preface of 1995 AAAI edition

A critical selection criterion was that the paper had to describe . . . a running computer program . . . All else was talk, philosophy not science . . . (L)ittle has come out of the "talk".

### AI, Programming, and AI Programming

Many of the key AI contributions in 60s, 70s, and early 80s had to do with **programming** and the **representation of knowledge** in **programs**:

- Lisp (Functional Programming)
- Prolog (Logic Programming)
- Rule-based Programming

. . .

- Interactive Programming Environments and Lisp Machines
- Frame, Scripts, Semantic Networks
- Expert Systems Shells and Architectures

#### **Programming and Problem of Generality**

- For writing an AI dissertation in the 60s, 70s and 80s, it was common to:
  - $\triangleright\,$  pick up a task and domain X
  - analyze/introspect/find out how task is solved
  - capture this reasoning in a program
- The dissertation was then
  - a theory about X (humor, story understanding, analogy, etc), and
    a program implementing the theory, tested over a few examples.
- Great ideas came out from this work but . . . a methodological problem:
  - Programs written by hand were not robust or general

#### From Programs to Learners and Solvers

- Limitation led to **methodological shift**:
  - from writing programs for ill-defined problems . . .
  - to designing algorithms for well-defined mathematical tasks

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- Limitation led to **methodological shift**:
  - from writing programs for ill-defined problems . . .
  - to designing algorithms for well-defined mathematical tasks
- New general programs **learners** and **solvers** have a **crisp functionality**: both can be seen as computing **functions** that map inputs into outputs

Input 
$$x \Longrightarrow |$$
 FUNCTION  $f | \Longrightarrow Output f(x)$ 

• The algorithms are **general** in the sense that they are not tied to particular examples but to classes of **models** and **tasks** expressed in **mathematical form** 

#### Learners

Input 
$$x \Longrightarrow [$$
FUNCTION  $f ] \Longrightarrow Output f(x)$ 

- In deep learning (DL) and deep reinforcement learning (DRL), training results in function  $f_{\theta}$
- f<sub>θ</sub> given by structure of neural network and adjustable parameters θ
  In DL, input x may be an image and output f<sub>θ</sub>(x) a classification label
  In DRL, input x may be state of game, and output f<sub>θ</sub>(x), value of state
- Parameters  $\theta$  learned by **minimizing error function** 
  - In DL, error depends on inputs and target outputs in training set
    In DRL, error depends on value of states and successor states
- Most common optimization algorithm is stochastic gradient descent

**Learners: Success and Limitations** 

Input 
$$x \Longrightarrow [FUNCTION f] \Longrightarrow Output f(x)$$

- Excitement about AI due to successes in DL and DRL
  - Breakthroughs in image understanding, speech recognition, Go, . . .
  - Superhuman performance in Chess and Go from self-play alone
- The basic ideas underlying DL and DRL not new but from 80s and 90s
  - Recently, more CPU power, more data, deeper nets, attractive problems
- One key limitation: Fixed input size x
  - ▶ No problem for learning to play Chess or Go over **fixed size board**
  - But critical for tackling arbitrary instances of ... Blocks World

#### **Solvers**

Input 
$$x \Longrightarrow$$
 FUNCTION  $f \implies Output f(x)$ 

• Solvers derive output f(x) for given input x from model:

- ▷ **SAT:** x is a formula in CNF, f(x) = 1 if x satisfiable, else f(x) = 0
- $\triangleright$  Classical planner: x is a planning problem P, and f(x) is plan that solves P
- **Bayesian net:** x is a query over Bayes Net and f(x) is the answer
- Constraint satisfaction, Markov decision processes, POMDPs, ...
- Generality: Solvers not tailored to particular examples
- **Expressivity:** Some models very expressive, "AI-Complete" (POMDPs)
- **Complexity:** Computation of f(x) is (NP) hard; |x| not bounded
- Challenge: Solvers shouldn't break just because x has many variables
- Methodology: Empirical, benchmarks, competitions, . . .

#### Solvers vs. Learners

Input 
$$x \Longrightarrow \left| \text{FUNCTION } f \right| \Longrightarrow \text{Output } f(x)$$

- Learners require experience over related problems x but then fast
  They compute function f from training, then apply it
- Solvers deal with completely new problems x but need to think
  - $\triangleright$  They compute f(x) for each input x from scratch

**Thinking** is hard but **computational limits** are important source of insight **Next:** look at some powerful computational ideas in **planning** 

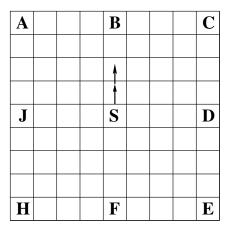
#### Finding Plans in Huge Mazes: Relaxation, Heuristics

**Old Idea**: If you don't know how to solve P, **solve simpler problem** P', and use solution of P' for solving P (Polya, Minsky, Pearl)

- In monotonic relaxation P', effects of actions on variables made monotonic
- Monotonicity makes relaxation P' decomposable and therefore tractable
- Heuristic h(s) in P set to cost of plan from s in relaxation P'

Heuristic obtained and used to solve any problem P from scratch No experience required in problems related to P

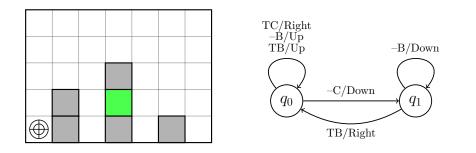
### **Goal Recognition: A Classification Problem**



- Task: infer agent goal  $G \in \mathcal{G}$  from observations O on behavior
- Bayes' rule: P(G|O) = P(O|G) P(G)/P(O), priors P(G) assumed given
- Likelihood P(O|G) set as **monotonic function** f of difference between:
  - ▷ c<sup>-</sup>(G): cost of reaching G with plan that does not comply with observations
    ▷ c<sup>+</sup>(G): cost of reaching G with plan that complies with observations

## P(G|O) computed using Bayes' rule and $2|\mathcal{G}|$ calls to planner No experience required in related problems

### **Generalized Planning and One-Shot Learning**



- Task: move 'eye' (mark) one cell at a time til green block found
- Observables: Whether marked cell contains a green block (G), non-green block (B), or neither (C); and whether on table (T) or not (-)
- Controller derived using classical planner over transformed problem where
  ▷ one action b = ⟨q, o, a, q'⟩ for each possible controller edge
- Generality: Derived controller solves not just given instance but any instance;
  i.e., any number of blocks and any configuration

Generalized plan for problem x is not f(x) but function f itself

### **Polynomial Algorithms for Exponential Spaces: Width**

- IW(1) is a **breadth-first search** that **prunes** states *s* that don't make a feature true for first time in the search, from given **set of boolean features** *F*
- IW(k) is IW(1) but over set  $F^k$  made up of conjunctions of k features from F
  - ▷ Most domains have small width  $w \leq 2$  when goals are single atoms
  - > Any such instances solved optimally by IW(w) in low poly time

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- IW(k) can work with **simulators**. No PDDL or goal needed. Variants:
  - BFWS(R): SOTA planning algorithm which doesn't use action structure
    Rollout IW(1): fast on-line planner that plays Atari from screen pixels

#### **Learners and Solvers: Contrasts**

- Rollout IW(1) planner and DQN learner perform comparably well in Atari
- They illustrate key difference between learners and solvers:
  - DQN requires lots of training data and time, and then plays very fast
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This is a general characteristic:

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#### Learners and Solvers: System 1 and System 2?

**Dual process accounts** of the human mind assume two processes (Daniel Kahneman: Thinking, Fast and Slow):

System 1 (Intuitive Mind) fast associative unconscious effortless parallel specialized

**System 2** (Analytical Mind)

> slow deliberative conscious effortful serial general

Learners?

Solvers?

. . .

#### Learners and Solvers: Challenges (1)

- Key challenge: General two-way integration of System 1 and System 2 inference in AI systems; i.e. learners and solvers
- AlphaZero that learns Chess and Go by pure self-play is effective integration of a learner and a solver
  - ▶ AlphaZero learns by imitating and improving (MCTS) planner used as teacher

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  - "Doing" BW is near 100% coverage on arbitrary instances with general algorithm; not 68% coverage on selected instances with 7 blocks!

### Learners and Solvers: Challenges (2)

For general and synergistic integration of learners and solvers:

- Learning the state variables from streams of actions and observations
- Learning useful general features for planning
- Model learning: explanation and accountability require models
- Learning finite-size abstract representations for general plans

. . .

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- Markets and politics focused on bottom line and aimed at our System 1
- Life in modern world needs System 2 informed by facts and common good
- If we want good AI, we need a good and decent society